



Ute Tribe Employment Rights Office

UTERO Commission

P.O. Box 400

Fort Duchesne, UT 84026

Phone: (435) 725-7086

utero@utetribes.com

REQUIRED ATTACHMENTS

- Copy of company Articles of Incorporation or Articles of Organization
- Copy of Bylaws, Operating Agreement, Partnership Agreement or other governing documents
- Copy of Business Mission Statement
- Copy of Business's Employment and Training Plan
- Copy of business's Contracting and Subcontracting Compliance Plan
- Copy of all other business policies or procedures designed to benefit Tribal Members
- Copy of any documents proving that Indian-owners actually own 51% or more of the business
- Copy of any documents proving that Indian-owners provide real value to the business
- Copy of any documents proving that Indian-owners receive 51% or more of all profits
- Copy of any documents proving that the business is under significant Indian management and control
- Copy of any documents proving that the firm was not established solely or primarily to take advantage of the Indian preference program

UTERO COMPLIANCE PLAN

COVERSHEET & CHECKLIST

AFFIRMATION

I, _____ (name), hereby certify, represent and swear under the pains of perjury as follows:

- that all of the information provided herein is true, correct, accurate and complete;
- that all documents hereto attached are true, correct, accurate and complete;
- that I have included all material information necessary to fully describe the nature of the below named business, as well as its existing and planned business practices;
- that the below named business does not have any existing partnerships, relationships, agreements, promises, or understandings with any other businesses or entities operating on or near the Uintah and Ouray Reservation or within the State of Utah other than those fully disclosed and described in this document or the attachments hereto;
- that the below named business understands and will keep up to date on all UTERO related changes;
- that the below named business is and will remain UTERO Compliant AND
- That it will not seek to circumvent the UTERO Ordinance and UTERO Rules and Regulations in any way.

SIGNED this ____ day of _____, 20__.

Signature

Printed Name

Title

BUSINESS INFORMATION

BUSINESS NAME: _____ EIN: _____

D.B.A.'s: _____

Tribe or State of Incorporation: _____ Entity #: _____

Principal Office Address: _____

Principal Office Phone #: _____ Ext: _____

Primary Contact (Name): _____

Primary Contact (Phone #): _____

Business accepts notices via: Email U.S. Postal Service Personal Service

Primary Contact (email address): _____

Registered Agent's Name: _____

Registered Agent's Address: _____

Parent Business Names: _____

Copy of Parent Business Organizational Documents (i.e. articles of incorporation and bylaws);

Sister or Partnering Business Names: _____

- a copy of sister or partnering business agreements (if written) is attached; and
- a written description of sister or partnering business agreements (if verbal) is attached.

Business' Industries: _____

Applicable NACIS Codes: _____

Number of Employees: _____ Prior Year Gross Revenues: _____

States in which Business maintains operations at any level: _____

Other Reservations on which Business maintains operations at any level: _____

Business holds business licenses, access or other permits in the following Reservations or States:

A copy of each is attached hereto.

Has Company or any of Company's principals, employees, representatives, parent or sister businesses, partner businesses previously or at any time been fined, sanctioned, disciplined, sued, suspended, refused access, OR excluded or expelled from any Indian reservation for violations of a Tribal Employments Rights or related Ordinance? Yes No

If "Yes", please provide copies of all charging documents (i.e. tickets, citations, complaints, information, notices etc.), as well as a detailed description, including the names and titles of each entity and person involved. Please include information regarding what happened, where it happened, when it happened, why it happened, and specifically what disciplinary action was taken: _____

If you require additional space, please attach additional pages as needed.

INDIAN-OWNED CERTIFICATION

What is the Formal Ownership: _____% Indian-Owned _____% Non-Indian Owned

Value (describe and document with attachments how each Indian owner provides real value): _____
